

# IMPROVING THE CAPABILITIES OF COGNITIVE RADAR & EW SYSTEMS

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**ROHDE & SCHWARZ**

Make ideas real

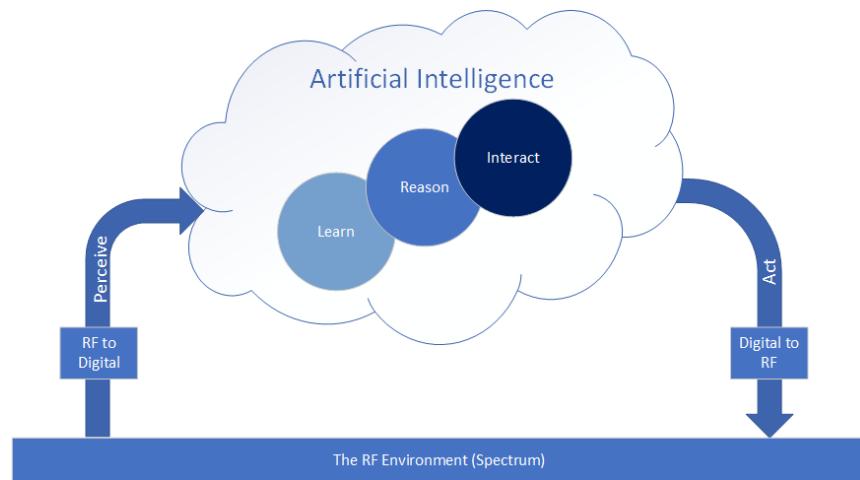


# INTRODUCTION

- ▶ A cognitive RF system is designed to perceive the RF environment
  - System converts the RF spectrum and associated energy into a stream of RF IQ data
- ▶ Uses Artificial Intelligence & Machine Learning
  - Make autonomous decisions
  - Determines a course of action without recourse to any other systems or any human intervention
- ▶ Real world signals are tainted with uncertainty, such as noise, other emitters, multipath, overlap, RX blanking, fading etc.
- ▶ The end goal of the system is to:
  - Deny the use of the RF spectrum by an adversary (Electronic Attack - EA)
  - Protect a platform, for instance by employing anti-jam techniques to protect a communications link (Electronic Protect - EP)
  - Delivery of supporting information to another system (Electronic Support - ES)

# COGNITION “GETTING TO KNOW, ACQUAINTANCE, NOTION, KNOWLEDGE”

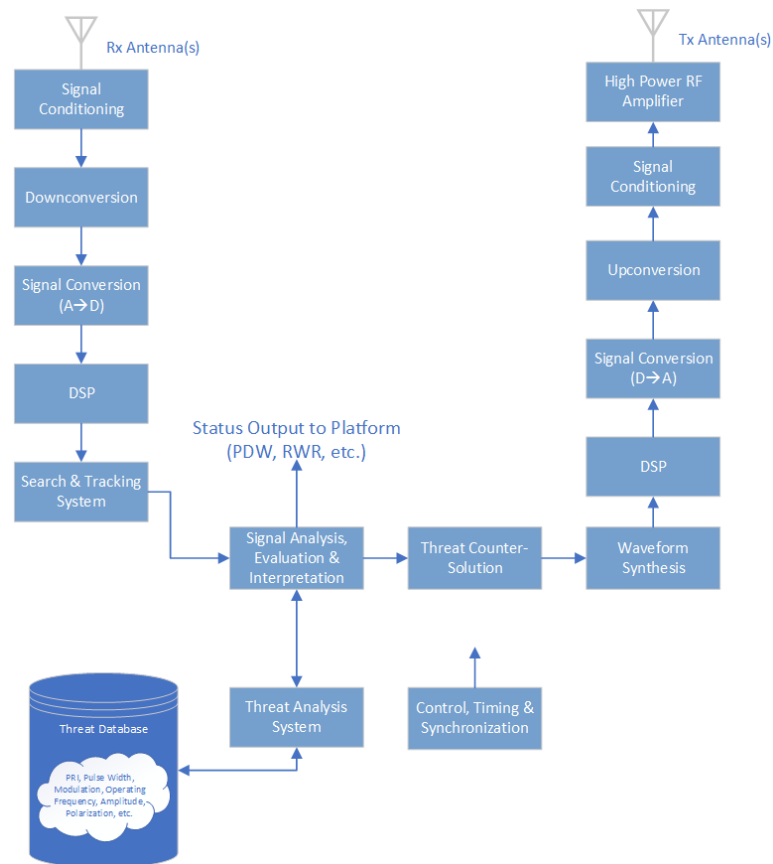
- ▶ A cognitive system uses a continuous loop
  - Situational perception
  - Learning
  - Reasoning
  - Interaction
  - Action
- ▶ The system literally learns from its interactions with the RF environment



# EMERGING THREATS

- ▶ Traditional approaches utilize static threat libraries
  - E.g. RADAR Warning Receiver (RWR), Air surveillance RADAR or Surface to Air Missile RADAR
- ▶ Static threat library implementations compare signals against a library of threats
- ▶ The static library algorithm focuses on known, quantifiable and repeated signal characteristics such as:
  - Center Frequency
  - Occupied Bandwidth
  - Hopping Characteristics
  - Modulation
  - Pulse Repetition Interval (PRI)
- ▶ Once emitters are classified, they may be turned into Pulse Descriptor Words (PDWs)
  - PDWs are fed to other systems on the platform, which may deploy countermeasures
  - Countermeasures may be electromagnetic, kinetic, electro-optic, chaff, flares, etc.

# TRADITIONAL STATIC LIBRARY RADAR/EW SYSTEM



# PROBLEMS WITH TRADITIONAL THREAT LIBRARY SYSTEMS

- ▶ A new class of “Mode-Agile” RADAR/EW systems are emerging
- ▶ Also known as WARM (WArttime Reserve Mode)
  - Mode Agile/WARM systems switch into non-conforming/non-traditional operational modes
    - New operating frequencies
    - New modulation techniques
    - New Pulse Repetition Intervals (PRI)
    - New hopping schemes
    - New occupied bandwidths
  - These new modes do not match threats in the static library
    - Thus, the system cannot determine a counter to these new modes – platform is at risk
- ▶ New modes are unlikely to be seen ‘in the wild’ outside of a true conflict, hence the WARM designation

# COGNITIVE RADAR/EW SYSTEMS

- ▶ Static threat algorithms are ineffective against adversaries utilizing WARM techniques
- ▶ In a cognitive RADAR/EW system Artificial Intelligence (AI) and Machine Learning (ML) techniques are applied to the incoming spectrum and to formulate a response
- ▶ Cognitive systems classify threats and develop a counter to that threat 'on the fly'
- ▶ WARM emitters may utilize AI/AL to detect AI/ML actors
  - May cause a game of 'cat and mouse' between threat and counter system
  - AI/ML systems need to be flexible and continuously adaptable



# SYSTEM DIAGRAM

## ► RF Acquisition

- Converts RF into digital data stream

## ► Search & Tracking System

- Determines angle of arrival

## ► Core AI/ML System

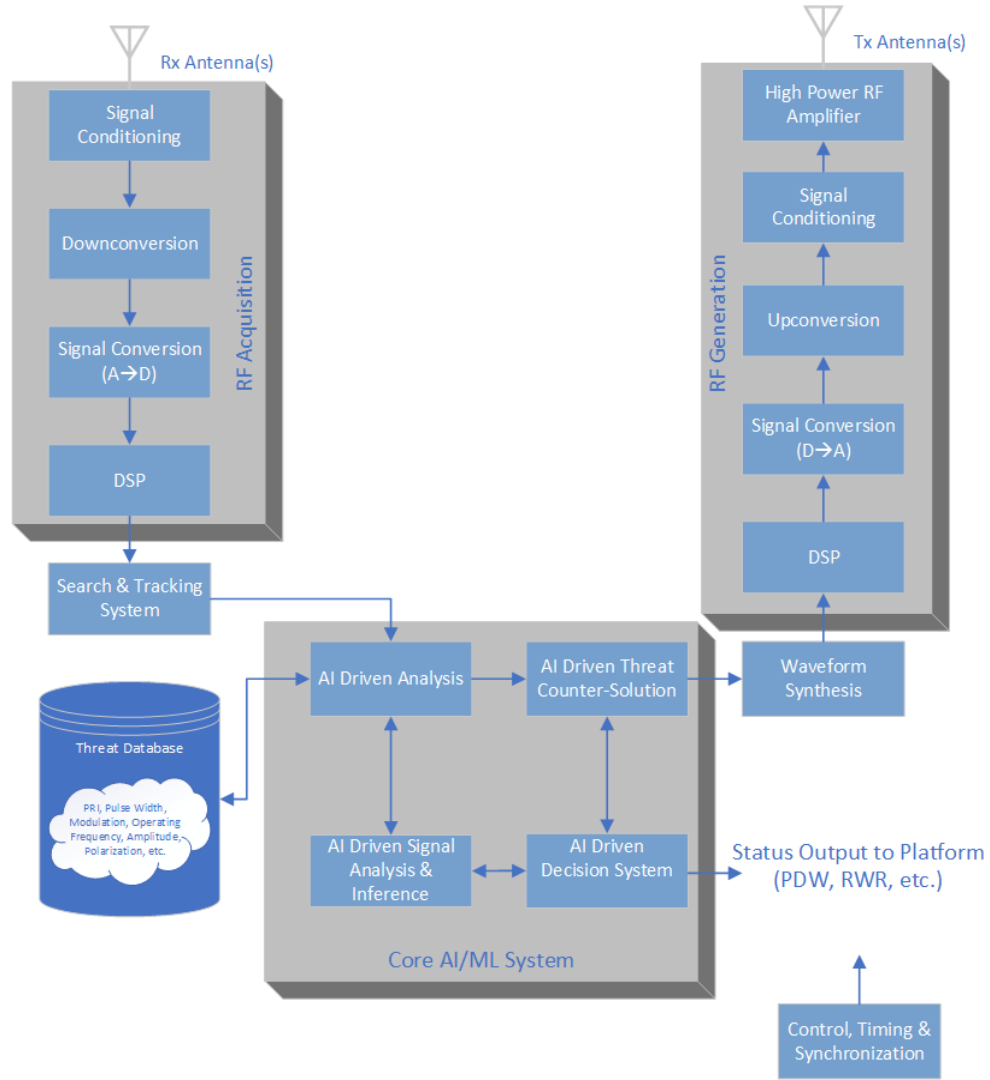
- Determines key parametric information
- Adds to the threat library
- Includes previous signals of interest
- Delivers suggested counters

## ► Waveform Synthesis

- Converts threat counter solution into digital data stream

## ► RF Generation

- Converts digital data stream into RF signal





# AI/ML TECHNIQUES

- ▶ AI/ML use computer science to apply non-human intelligence to implement systems that emulate human reasoning and problem-solving skills
- ▶ The most commonly used AI techniques used in ML are:
  - **Artificial Neural Networks (ANN)**
    - A non parametric tool that is trained to perform pattern recognition, classification & sorting
    - Emulates biological neuron
    - Neurons are weighted and grouped to form networks, linked by synapses
    - ANN may use feed-forward or feedback
    - Some ANN implementations can process time-dependent signals
  - **Deep Learning (DL) or Deep Neural Networks (DNN)**
    - DL/DNNs improve feature expression by utilizing multiple layers to progressively extract higher-level features

# A/ML TECHNIQUES CONT'D

## ► Fuzzy Logic

- Fuzzy logic emulates human decision making, where decisions are often made with vague, imprecise or incomplete data
- Fuzzy logic utilizes the concept that truth is not absolute and can be expressed as any value between 0 and 1 - unlike Boolean logic where values can only be 0 or 1
- Fuzzy logic system have no learning capability or memory
- Often combined with other ML techniques, e.g. Neuro-Fuzzy combines neural networks with fuzzy logic

## ► Genetic Algorithms (GA)

- Emulates naturally occurring evolution process with 'survival of the fittest' logic
- Derives optimum solutions through iteration and weighting of solutions
  - Lower weighted solutions are discarded
- GA keeps pools of solutions, to reduce the risk of reaching a false solution
- GA algorithms can take a variable amount of time, or never reach a solution
  - Not ideal where speed of response to a threat is paramount

# CHALLENGES IN IMPLEMENTING COGNITIVE RADAR/EW SYSTEMS

- ▶ **Significant computational resources are required at the tactical edge, i.e. where the threat is encountered**
  - Systems may utilize GP-GPU, CPU and/or FPGA resources
  - Systems need to meet potentially harsh environmental operating conditions
- ▶ **The system needs to minimize the detect-to-counter time - RF in to RF out latency**
  - Can affect platform survivability
  - Modern GP-GPU and data converters technologies often exhibit long latencies
- ▶ **Mode-agile emitters may operate outside of expected frequencies**
  - Requires wideband spectrum 'stare' & fast turning emitters
  - Wider bandwidths are typically traded for lower dynamic range & increased noise floor
  - Wider bandwidths complicate data movement and processing within the system

# CHALLENGES IN IMPLEMENTING COGNITIVE RADAR/EW SYSTEMS

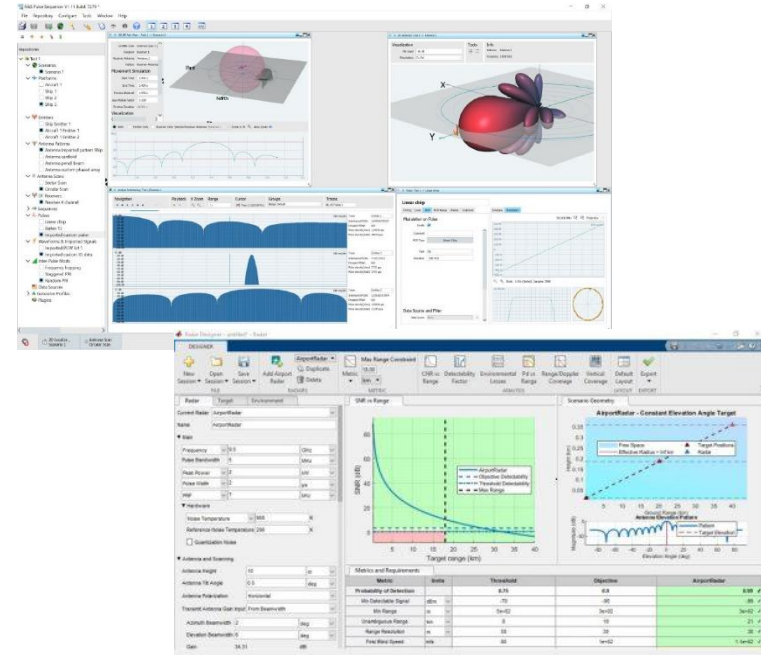
- ▶ **Wideband cognitive systems use more electrical power, compared to static library systems**
  - Affects Size, Weight, Power & Cost (SWaP-C) requirements
  - Smaller platforms such as Unmanned Aerial Systems (UAS) even more challenging
- ▶ **Mode-agile threats may also enter low-power RF modes**
  - Operate close to or even below the noise floor
  - Drives requirements for higher dynamic range in the cognitive system
  - But... wider bandwidth and higher dynamic range are diverging requirements
- ▶ **Platforms need to share information**
  - Requires a reliable, jamming-resistant communication links
  - Requires a common time reference, such as GPS, in order to provide spatial and temporal information
    - Vital for geo tagging and direction finding
    - Assured Position, Navigation & Timing (A-PNT) needs to be integral to the platform

# CHALLENGES IN IMPLEMENTING COGNITIVE RADAR/EW SYSTEMS

- ▶ AI/ML systems require rich and representative data sets to train the algorithms
- ▶ Training is the process of
  - ‘Feeding’ the algorithm with representative sample sets of signals
  - Analyzing the efficacy of the algorithm in identifying the correct solution
  - Modifying and improving the algorithm
  - Repeating this loop in an iterative manner
- ▶ This iterative process, when applied to physical RF hardware, is called RF In the Loop (RFIL), Hardware In the Loop (HIL) or System In the Loop (SIL)
- ▶ HIL/SIL testing is a long & onerous process → A prime candidate for automation
- ▶ HIL/SIL testing can be used to:
  - Develop & tune initial algorithms
  - Perform regression testing of newer techniques against established ones
  - Verify efficacy of mission data sets in reprogramming labs before deployment
  - Verify platform operational readiness before mission execution

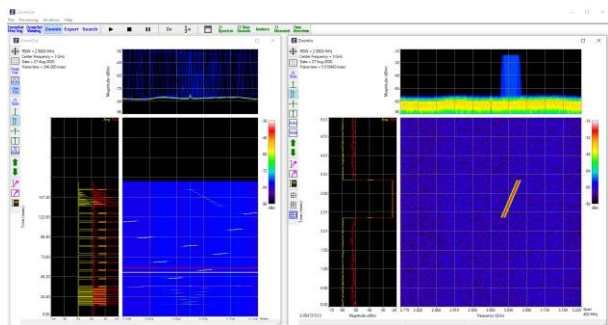
# ACQUISITION & GENERATION OF TRAINING DATASETS

- ▶ Accurate algorithm implementation relies on varied and large amounts of training data
- ▶ Training data comes from 2 main sources:
  - Real-world collect
    - Signals may be poor quality, sometimes this is desirable, other times it is not....
  - Modelling & Simulation Tools
    - Matlab®
    - Simulink®
    - R&S Pulse Sequencer
    - R&S Win IQSim2
    - Allows almost infinite variation & experimentation in a controlled lab environment



# REAL WORLD COLLECT SYSTEM

- ▶ A system such as the one pictured can be used for collect missions
- ▶ Can collect and analyze/segment incoming RF spectrum 'on the fly'
- ▶ >3 Hours of record capability
- ▶ 1GHz end-to-end IBW
- ▶ Removeable storage
- ▶ Intuitive operator interface



COTS Spectrum Analyzer

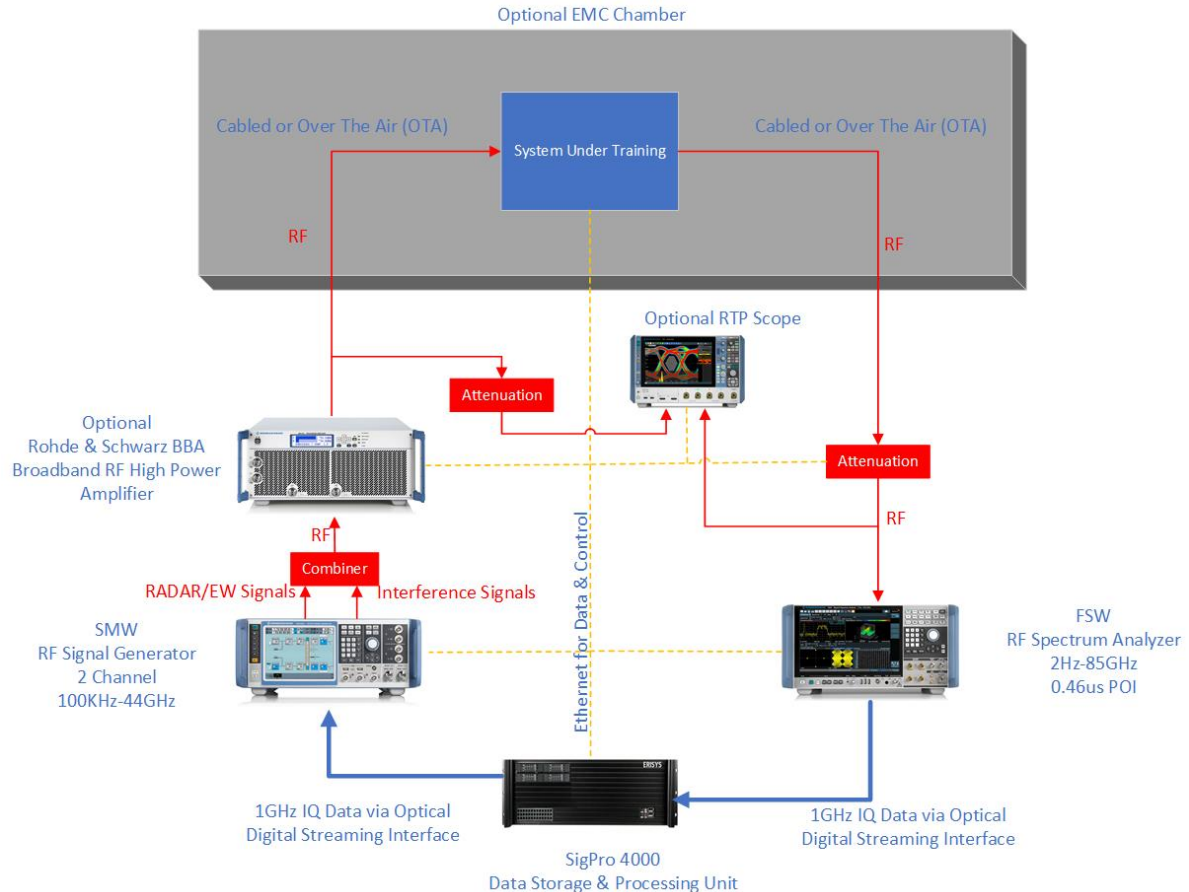


1GHz Optical Digital Streaming Interface

Data Storage & Processing Unit

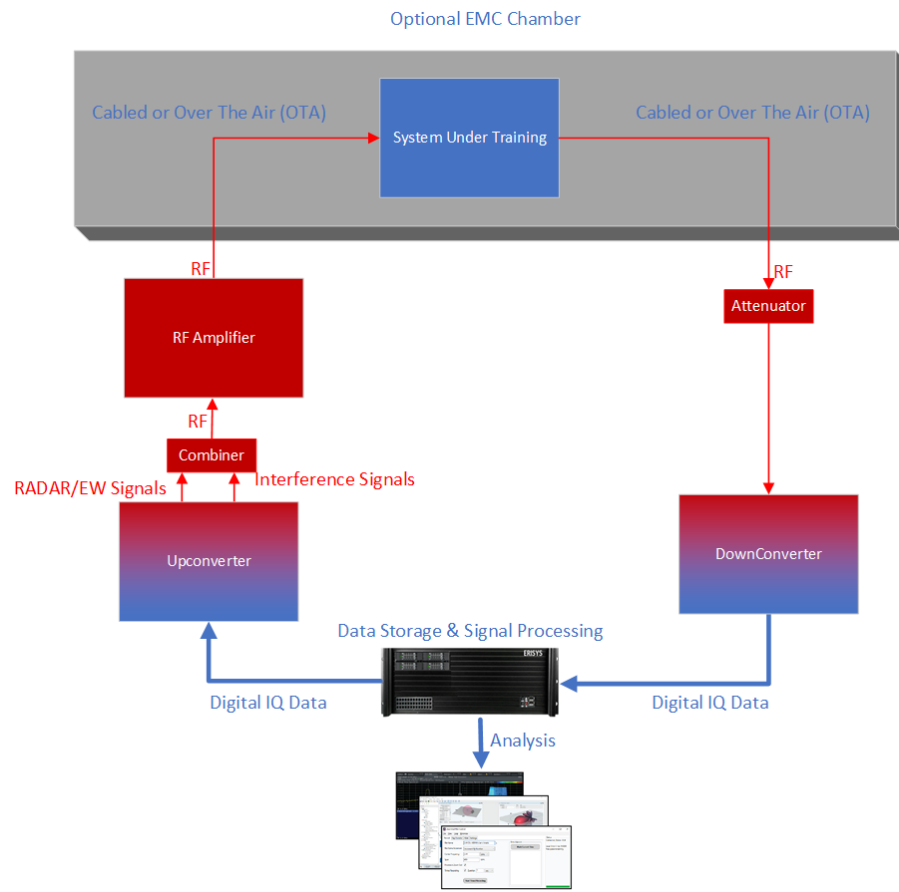


# RFHIL TRAINING SYSTEM BLOCK DIAGRAM





# RFHIL SIGNAL FLOW



# HIL/SIL TRAINING SYSTEM DESCRIPTION

- ▶ The RFIL system is built around the Integrated Record, Analysis & Playback (IRAPS) system, consisting of
  - Multi-channel Vector Signal Generator (SMW)
    - Channel 1 - Generates to RF waveform from IQ training files stored on the SigPro
    - Channel 2 - Generates interference, commercial RF signals etc.
  - Optional broadband RF Amplifier - Amplifies RF signal to produce real-world RF levels
  - Wideband Vector Signal Analyzer (FSW)
    - Acquires the RF spectrum and converts it into an IQ stream to be processed by the SigPro
  - SigPro - Storage, processing and controller
  - For over the air training an EMC chamber might be needed
- ▶ Optional multi-channel wideband oscilloscope to accurately assess the temporal and latency response of the system under test
- ▶ IRAPS plays IQ files that train the system Under Test, captures the response from the SUT
- ▶ IRAPS can also be used to analyze the response using commercial M&S software

# INTEGRATED RECORD ANALYSIS PLAYBACK SYSTEM (IRAPS™)

- Discrete instrument functionality
- Hi-fidelity recordings and playback

High  
Performance  
COTS  
Hardware

End to end  
1 GHz  
BW

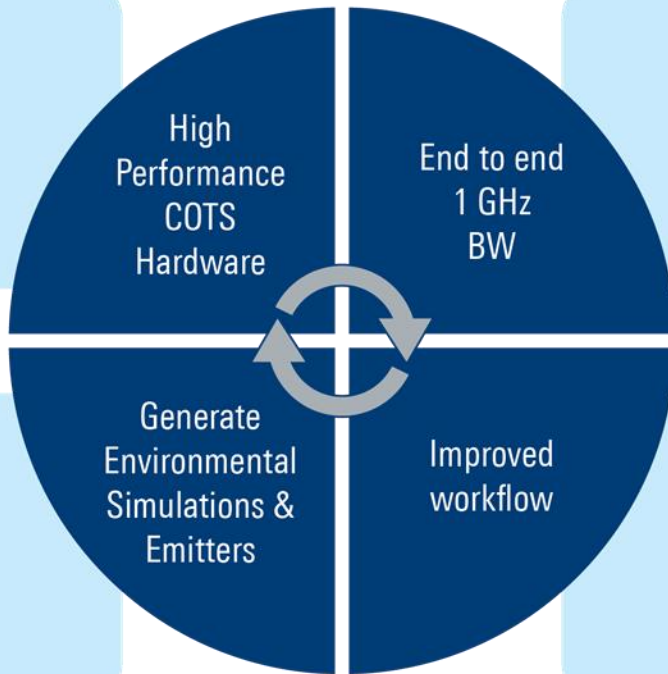
- Extract signals of interest
- Extract PDWs
- Playback RF Signals

- Extractable PDW tables
- Sequenced pulse files
- Moving emitters

Generate  
Environmental  
Simulations &  
Emitters

Improved  
workflow

- Simultaneous analysis and record
- Quickly find signals of interest
- In line dedicated processing
- IQ and PDW real-time streaming



# APPLICATION SCALABILITY



Discrete H/W + S/W



Moveable Rack System



Rugged Fieldable System

# ZOOMOUT – JOINT TIME-FREQUENCY ANALYSIS SOFTWARE

Powerful  
Analysis  
Software

Analyze massive signal captures

Simple  
and  
fast

1-button push to  
record, view,  
and analyze

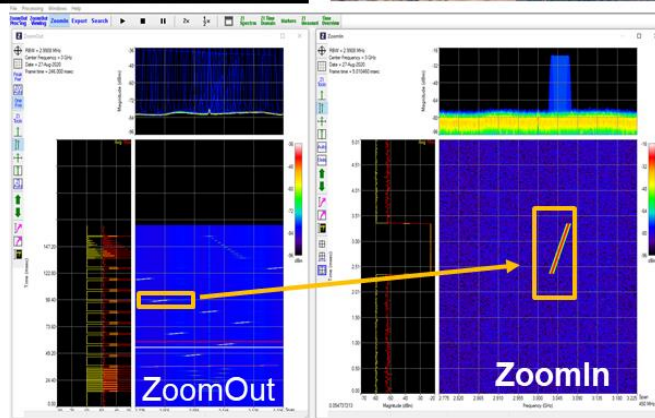
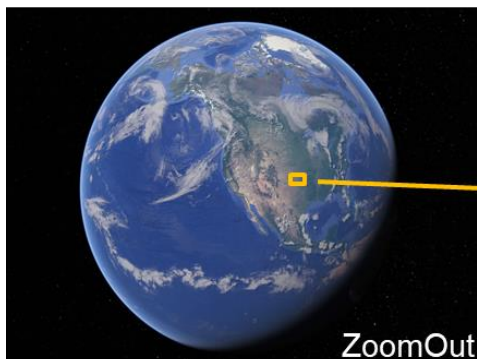
Fast  
Drill  
down

View entire  
recording and  
quickly drill down  
for detailed analysis

.83ns  
signal  
detection

100% detection on signals  
greater than .83ns in duration

Optional FSW RTSA 100% POI at .46 $\mu$ s



## ZoomOut

Big Picture capture

Small signal close to noise

## ZoomIn

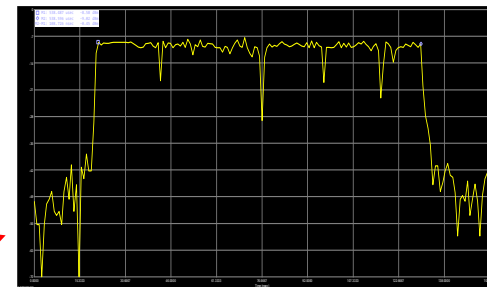
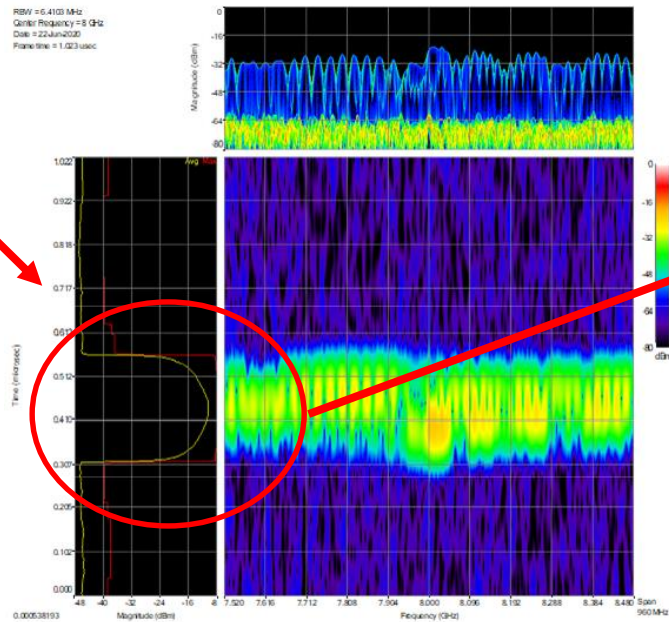
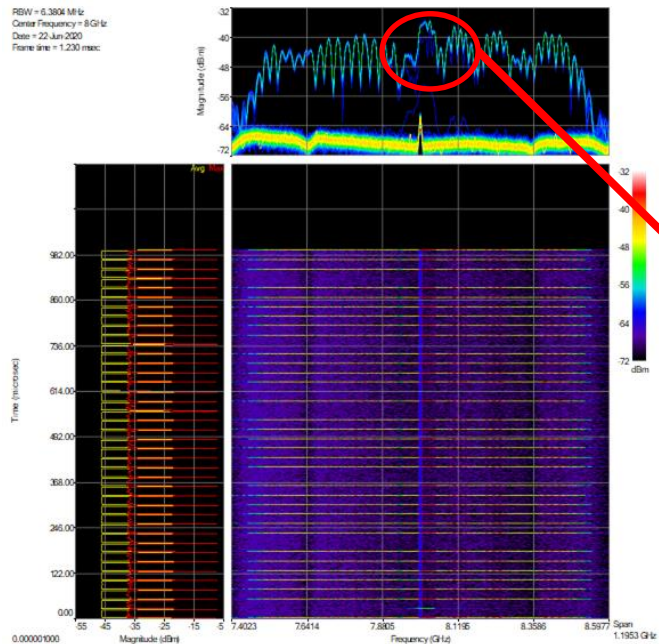
Closer Look

Hi-Fidelity recording allows detection

## World Class POI

Analyze signal of interest

100ns Barker Code



# CONCLUSION

- ▶ Testing and training of cognitive AI/ML EW and RADAR systems is complex and time-consuming
- ▶ The key to success is feature-rich training datasets
- ▶ Modelling & Simulation tools can be used to generate the training data
- ▶ Real-world collect can also be used to capture & process training data
- ▶ Sigpro and FSW can be used for real-world wideband collect
- ▶ A system such as the IRAPS can be used to train, analyze and improve the efficacy of algorithms running on the cognitive EW system
- ▶ IRAPS can be used as a truly independent RF In the Loop (RFIL) system with OTA testing in a controlled environment
- ▶ FPGA in IRAPS can be used for dedicated in-line DSP, low-latency processing, DDC, DUC, etc.