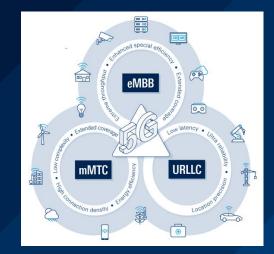
5G TODAY AND INTO THE FUTURE

A SHORT OVERVIEW OF IOT-NTN AND REDCAP

Reiner Stuhlfauth Technology Manager Wireless

ROHDE&SCHWARZ

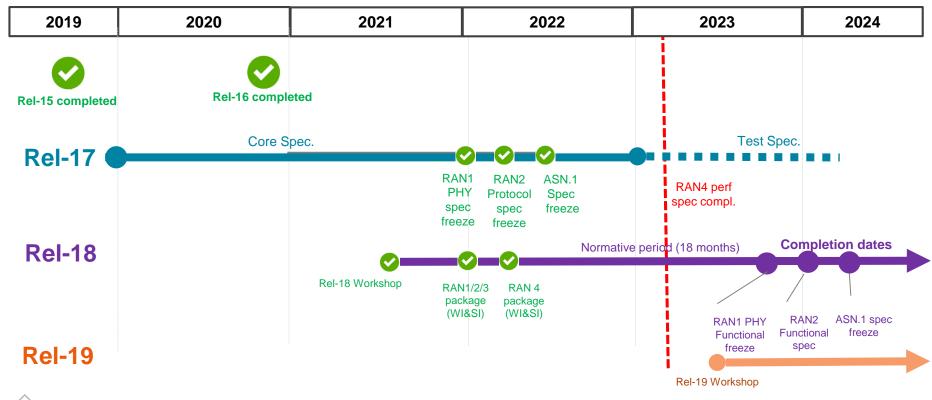
Make ideas real





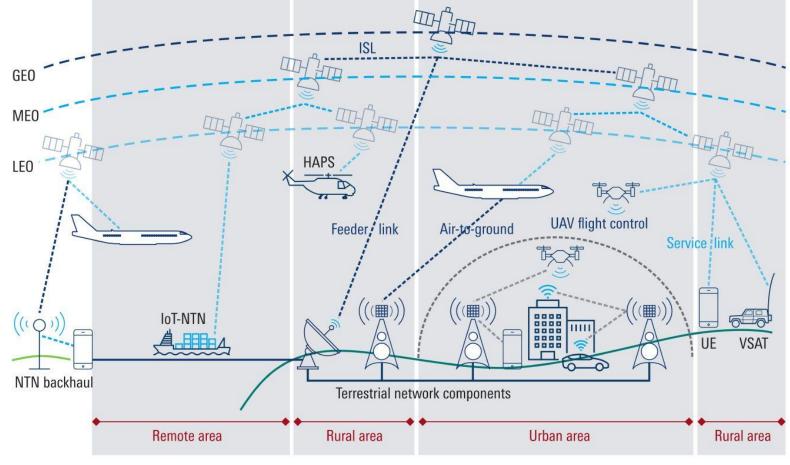
3GPP RELEASE SCHEDULE DECEMBER 2022

- Release 18 Plan unchanged
 Anticipated Spec. functional freeze
 Rel-19 Workshop planned



The ongoing evolution of 5G NON-TERRESTRIAL NETWORKS

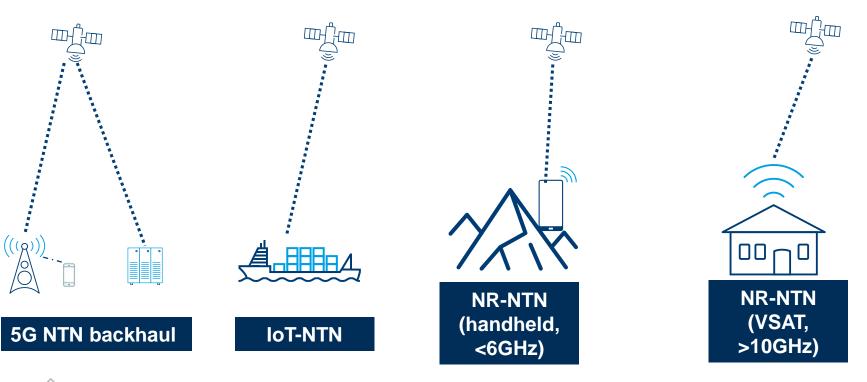
5G NTN – HOLISTIC VIEW



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ß

5G-NTN FOUR FACETS (PERSPECTIVE USE CASE)



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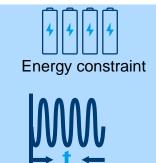


Non-terrestrial networks (NTN) NB-IOT NTN & LTE-M NTN

IOT-NTN VS NR-NTN – CONCISE OVERVIEW



LTE-M and NB-IoT based Best effort QoS Low & sporadic throughput Worldwide coverage No or idle mode mobility only (NB-IoT) Connected mode mobility for LTE-M

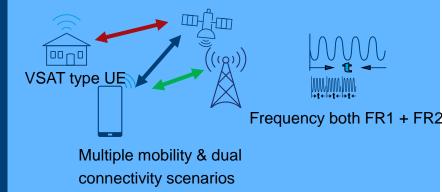


Frequency sub 6GHz



5G (&6G) based

- Long term evolution from interworking to unification
- Higher throughput envisaged
- Mobility scenarios
- Frequency extension FR2 + FR3?



NB-IOT/EMTC SUPPORT FOR NTN - MOTIVATION

Motivation: Making the Internet of Things ubiquitous and global

Justification

- Industries where IoT operation is critical in remote areas:
 - Transportation, logistics, solar & oil industries, gas harvesting, farming; environmental monitoring, mining, etc.

UE types for IoT-NTN:

Bandwidth limited, low complexity (BL UE) = LTE-M

UE in coverage enhanced mode

NB-IoT UEs



Best effort QoS

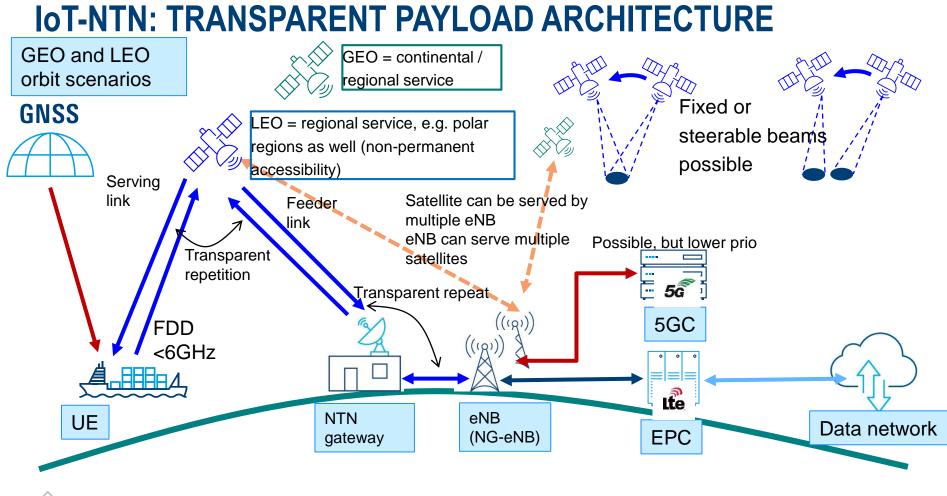
Delay tolerant

Low throughpu



Challenges:

- Link budget (UE = PC3 (23dBm)
- FR1 spectrum + Omnidirectional antenna (~1RX)
- Satellite accessibility vs. Battery consumption
- Doppler shift and timing advance
- NB-IoT and eMTC fit these use cases but suffer from low/no cellular connectivity.
 - Satellite connectivity it's required to provide global coverage beyond terrestrial deployments.



NARROWBAND-IoT OVER NTN – PHYSICAL LAYER ASPECTS

15 kHz

80 kHz

The uplink and downlink total transmission bandwidth is 180 kHz (200kHz channel BW)

Downlink: OFDM with 15 kHz subcarrier spacing (1PRB)

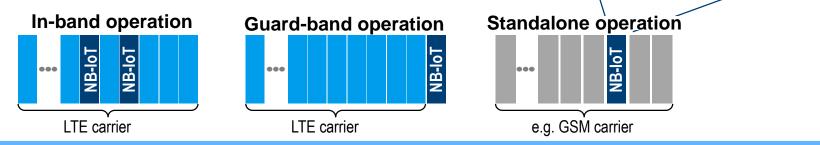
Uplink: SC-FDMA with 3.75 kHz and 15 kHz for single-tone transmissions and optional multi-tone transmissions with 15 kHz subcarrier spacing

Only FDD in half-duplex mode (analog to UE Cat. 0 half-duplex Type B)

Reduced downlink transmission schemes (MIMO):

TM1: single antenna port, TM2: two antenna ports, using transmit diversity

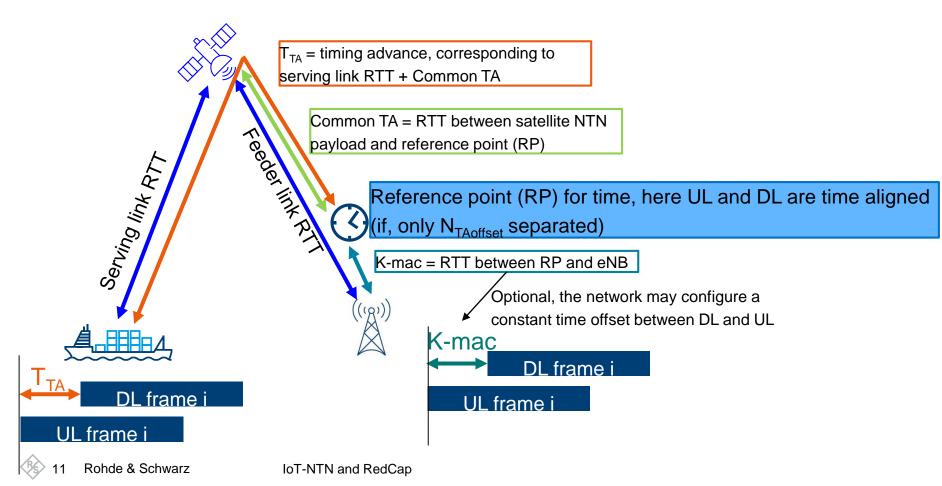
Only **mobility** in IDLE mode is supported



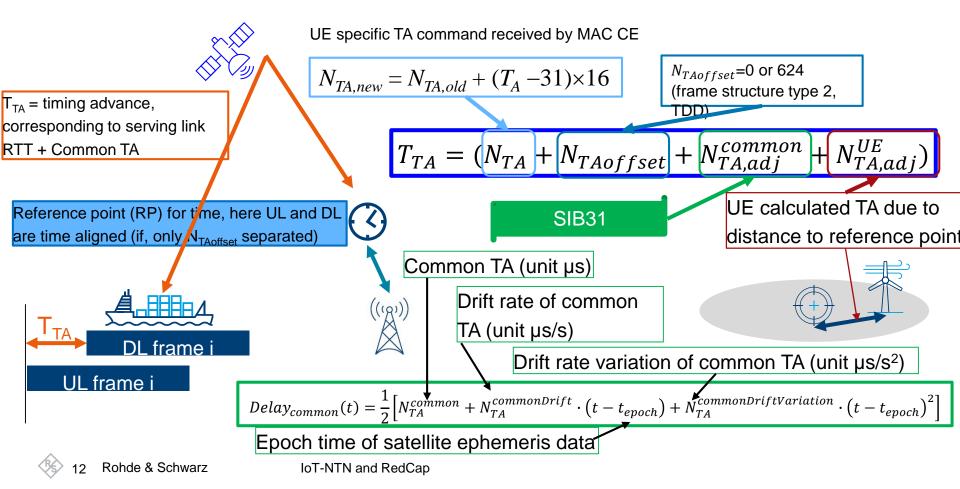
IoT-NTN potentially focus on standalone operation or guard-band operation (e.g. using NewSpace proprietary channels guard bands or in combination with NR-NTN)

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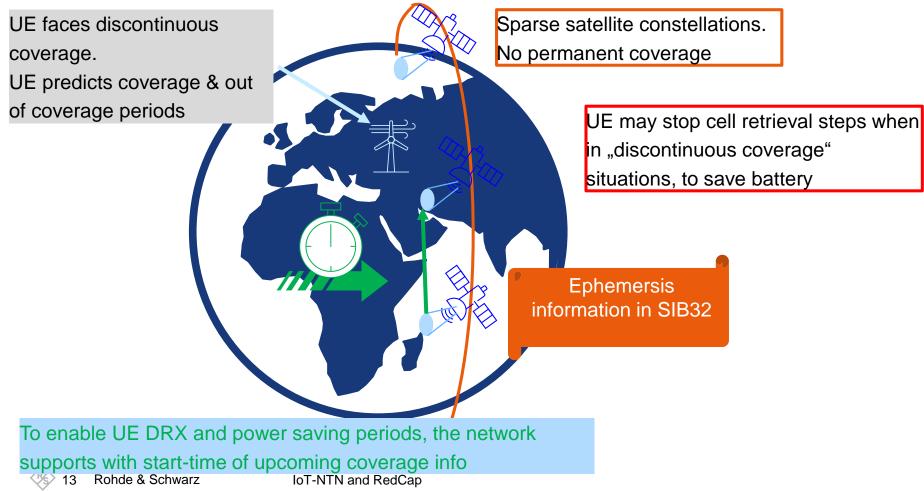
NB-IoT-NTN – PHYSICAL LAYER ASPECTS, TIMING



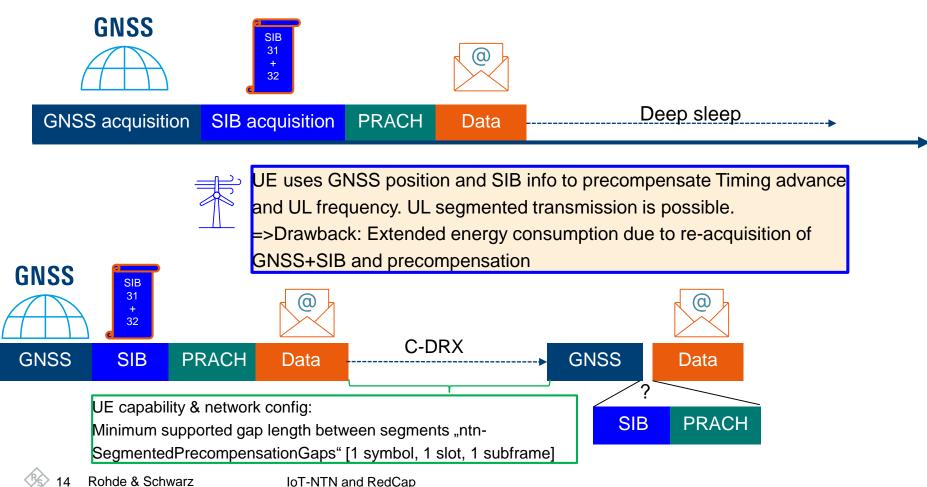
NB-IoT-NTN – PHYSICAL LAYER ASPECTS, TIMING ADVANCE



IoT-NTN DISCONTINUOUS COVERAGE

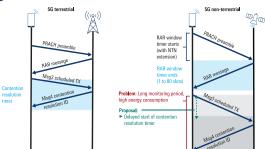


IoT-NTN SHORT AND LONG CONNECTIONS, SEGMENTATION



IOT-NTN MAC LAYER ENHANCEMENTS

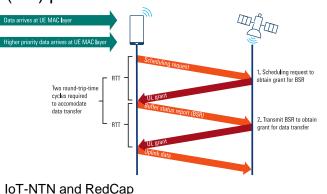
MAC layer, timer extensions. Similar between NR-NTN and IoT-NTN

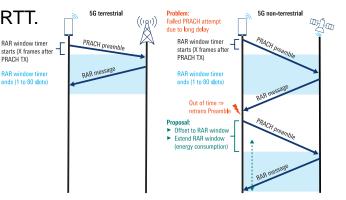


PRACH TX)

MAC layer enhancements

- Delay the start of the random access response (RAR) window by the RTT.
- Delay the start of the contention resolution timer by the RTT.
- Delay the start of the PUR response window by the RTT.
- Extend C-DRX DL/UL HARQ RTT Timer to include the RTT.
- Extend the Scheduling Request (SR) prohibit timer.





SYSTEM INFORMATION BLOCK TYPE 31

```
ServingSatelliteInfo-r17 ::= SEQUENCE {
  ephemerisInfo-r17
                       CHOICE {
    stateVectors
                         EphemerisStateVectors-r17,
    orbitalParameters EphemerisOrbitalParameters-r17 },
  nta-CommonParameters-17
                              SEOUENCE {
                              INTEGER (0..8316827)
    nta-Common-r17
                                                        OPTIONAL,
    nta-CommonDrift-r17
                          INTEGER (-261935..261935)
                                                        OPTIONAL,
    nta-CommonDriftVariation-r17 INTEGER (0..29479)
                                                        OPTIONAL },
  ul-SyncValidityDuration-r17 ENUMERATED {s5, s10, s15, s20, s25, s30, s35,
                            s40,s45, s50, s55, s60, s120, s180, s240, s900},
  epochTime-r17
                       SEOUENCE {
    startSFN-r17
                           INTEGER (0..1023),
    startSubFrame-r17
                           INTEGER (0..9)
                                    OPTIONAL,
  k-Offset-r17
                       INTEGER (0..1023),
  k-Mac-r17
                       INTEGER (1..512)
                                            OPTIONAL,
  · · · }
```

SYSTEM INFORMATION BLOCK TYPE 32

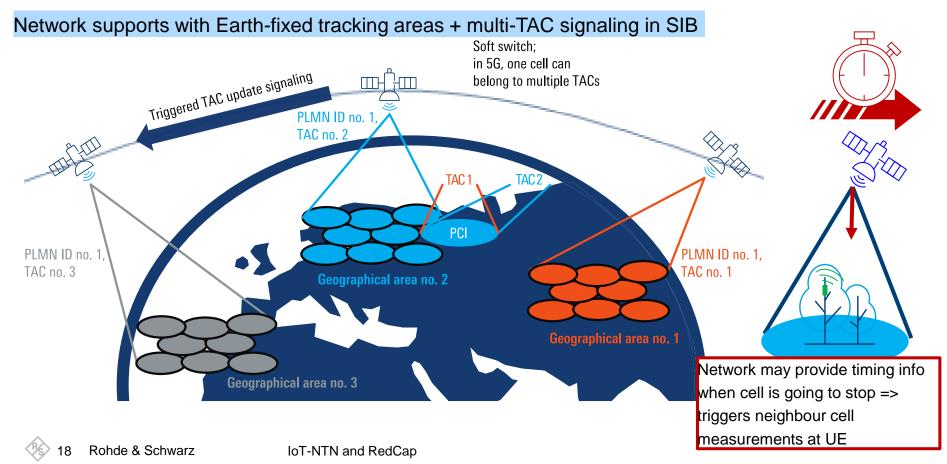
SatelliteInfoList-r17 ::= SEQUENCE (SIZE (1..maxSat-r17)) OF SatelliteInfo-r17

```
SatelliteInfo-r17 ::=
                        SEQUENCE {
  satelliteId-r17
                      INTEGER (0..255),
  serviceInfo-r17
                      SEQUENCE {
  tle-EphemerisParameters-r17 TLE-EphemerisParameters-r17 OPTIONAL,
  t-ServiceStart-r17 TimeOffsetUTC-r17 OPTIONAL
    },
  footprintInfo-r17 SEQUENCE {
    referencePoint-r17
                        SEOUENCE {
      longitude-r17 INTEGER (-131072..131071),
      latitude-r17 INTEGER (-131072..131071)
    } OPTIONAL, -- Need OR
    elevationAngles-r17 SEQUENCE {
      elevationAngleRight-r17 INTEGER (-14..14),
      elevationAngleLeft-r17 INTEGER (-14..14)
                                                 OPTIONAL
    } OPTIONAL,
    radius-r17
                      INTEGER (1..256)
                                             OPTIONAL -- Need OR
```

IoT-NTN and RedCap

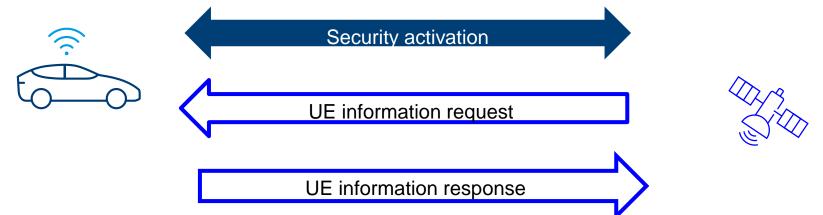
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IOT-NTN NON-ACCESS STRATUM (NAS), TAC SIGNALING



IoT-NTN UE INFORMATION REQUEST & REPORTING

Network may retrieve information from the UE



May include:

- RACH info: #preambles and contention resolution result
- Coverage enhancement level or initial NRSRP level
- Radio link failure (RLF) report
- Logged measurement results
- Coarse location info (accuracy ±2km)

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5G NTN RELEASE 17: NR-NTN VERSUS IOT-NTN

Scenarios and general

			-
	NR-NTN	NB-IoT-NTN	eMTC-NTN
Support for GEO, MEO, LEO, HAPS	×	×	\checkmark
Payload type	Transparent	Transparent	Transparent
Cell-ID/CGI corresponds to fixed geographical aeras	×	×.	×
Support for sporadic short-data transmission	×	Main use case	Main use case
Support for soft and hard feeder link switchover	 Image: A second s		\checkmark
GNSS support for TA estimation and frequency pre-compensation	 Image: A second s	 Image: A second s	✓
Support for indication of DL/UL polarization information	×	×	×
TDD/FDD	No TDD bands	FDD only	FDD only
Support of discontinuous coverage	X	SIB32-NB	SIE32
Broadcast of satellite assistance information for the serving cell (state vectors or orbital parameters format)	SIB19	SIB31-NB	SIB31

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5G NTN RELEASE 17: NR-NTN VERSUS IOT-NTN

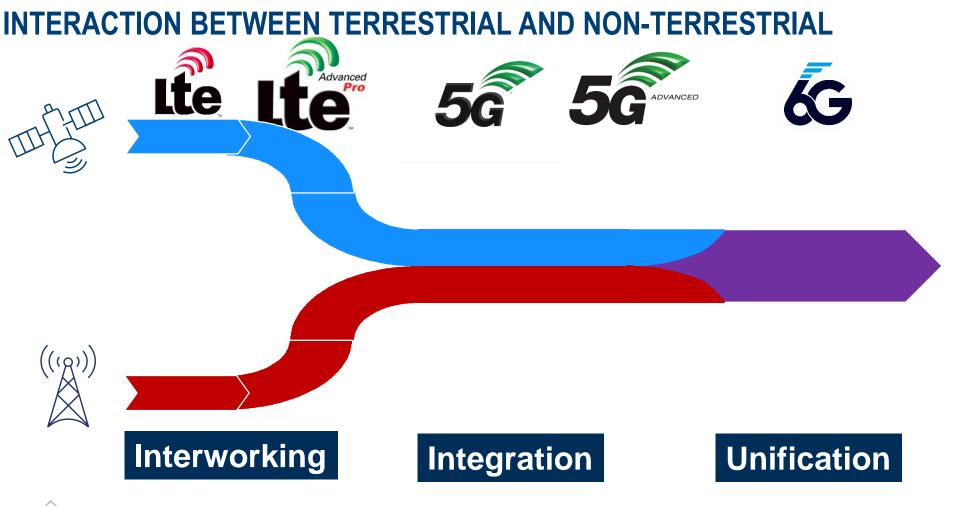
Mobility				
	NR-NTN	NB-IoT-NTN	eMTC-NTN	
Connected mode mobility	Enhancements to conditional handover (CHO) Time-based triggered Location-based triggered	Rel. 16 radio link failure and connection re- establishment procedures	Rel. 16 conditional handover scenarios	
Broadcast information on when a cell is going to stop serving the area for cell re-selection and cell measurements in quasi-earth fixed cell scenarios	T-Service in SIB19	T-Service in SIB3-NB	T-Service in SIB3	
Terrestrial <-> non-terrestrial handover		Not explicitely disallowed – may work.	Not explicitely disallowed – may work.	

IoT-NTN SPECIFIC OUTLOOK TO REL.18

- Improve mobility aspects
- Improve performance in terms of throughput
- Optimize the GNSS operation with sparse use of GNSS and power efficiency for long-term connection (compared to Rel-17)
- Further enhance support for discontinuous coverage.
- Performance and power consumption enhancements:
 - Disabling HARQ feedback.
 - Improved GNSS operations during long connection times.
 - Reduced GNSS power consumption.

- Discontinuous coverage enhancements:
 - Power saving mechanism for sparse constellations.
 - Enhancements to RRC reestablishment for discontinuous coverage.

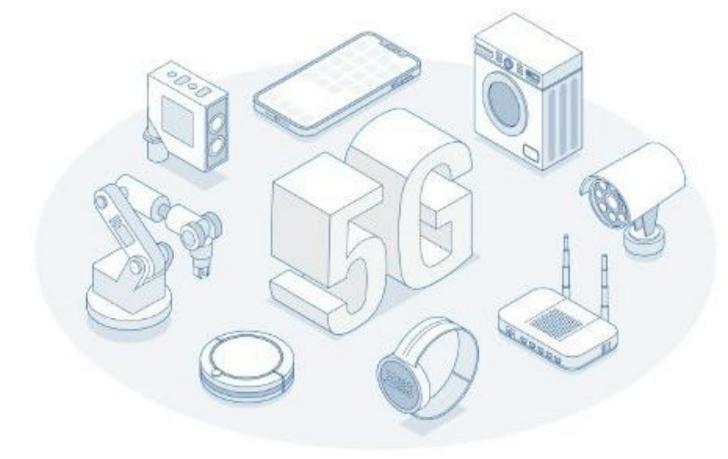
- Mobility Enhancements:
 - Enhancements on RLF/RRC reestablishment (e.g. conditional RRC reestablishment).
 - Adoption of mobility solutions introduced in Rel-17 NR-NTN for eMTC (e.g. location/timing-based CHO)
 - Adoption of Rel-17 NB-IoT RLF and carrier selection enhancements.



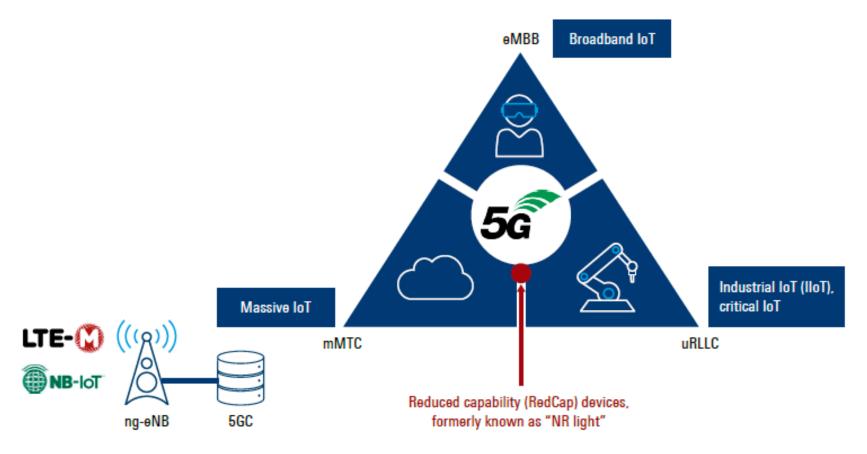


The ongoing evolution of 5G REDUCED CAPABILITY (RedCap)

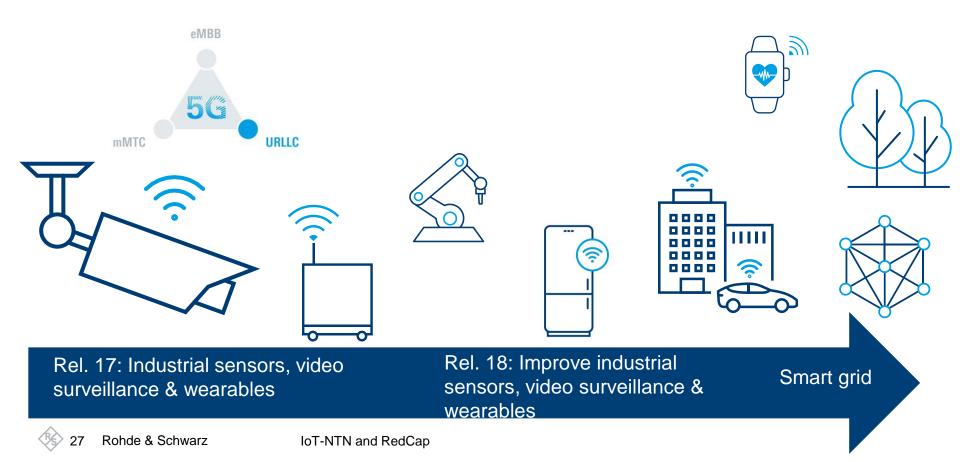
5G NR: Reduced capability (RedCap)

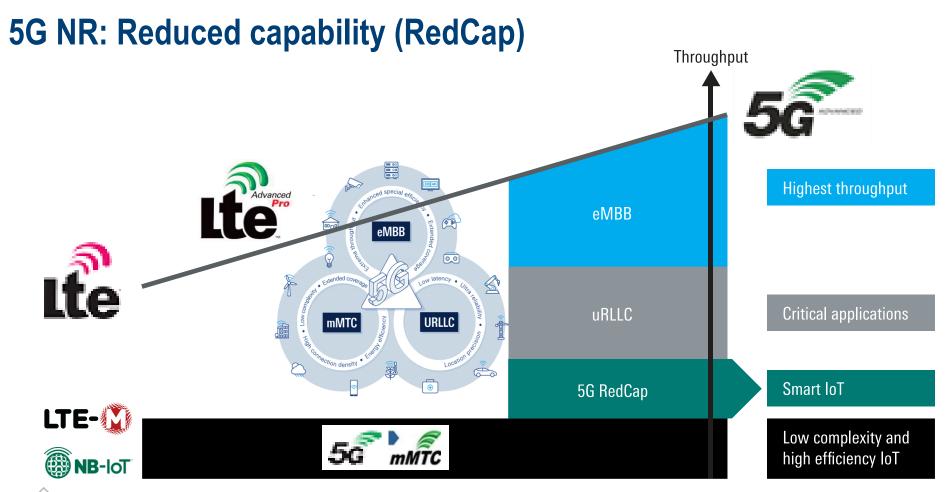


5G NR: Reduced capability (RedCap)



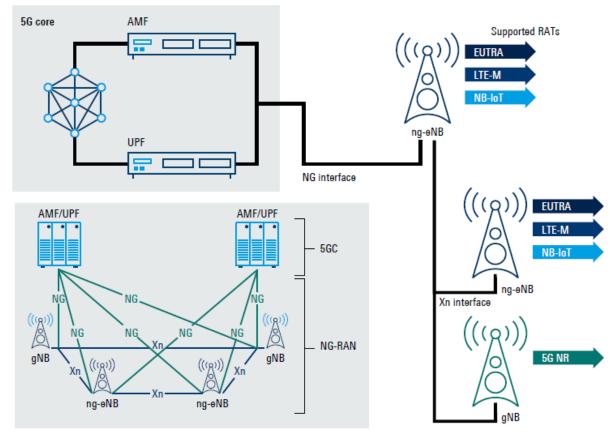
5G NR REDUCED CAPABILITY MOTIVATION & JUSTIFICATION





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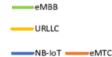
5G NR NEXT GENERATION eNodeB

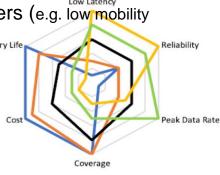


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DEVICE OPTIMIZATION TOPICS

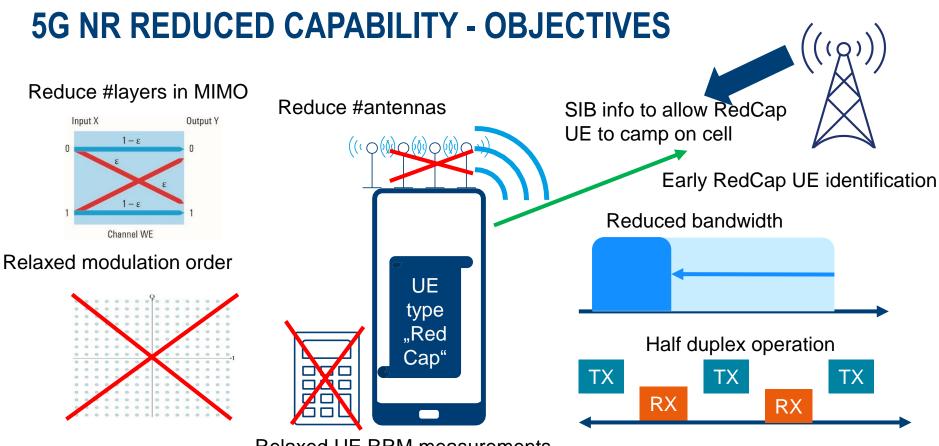
- Reduced capability (RedCap)
 - 20 MHz (FR1), 100MHz (FR2)
 - 1 or 2 Rx (more complex in reality: MIMO, FR1/2 etc.)
 - 1 TX antenna
 - 256QAM optional (FR1)
 - Half duplex FDD (but full-duplex is optional)
 - Lower transmit power (e.g. power class 7 for some bands in FR2)
 - Limited mobility/handovers (e.g. low mobility devices, relaxed RRM)
 Battery Life





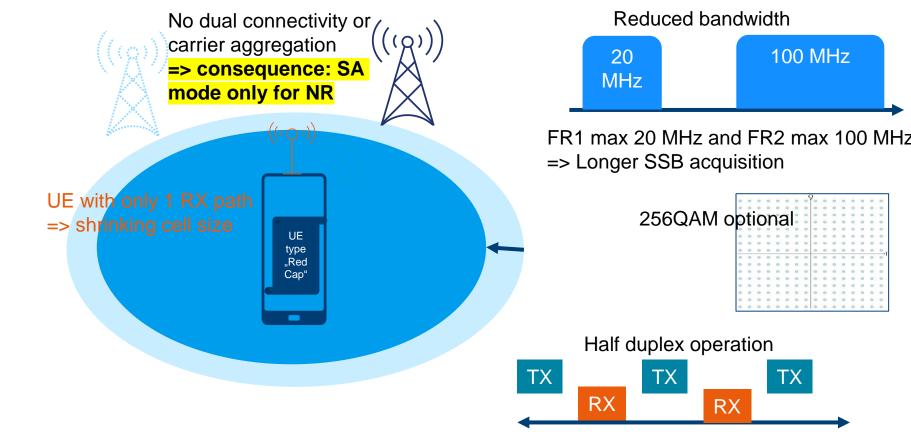


Source: Nokia

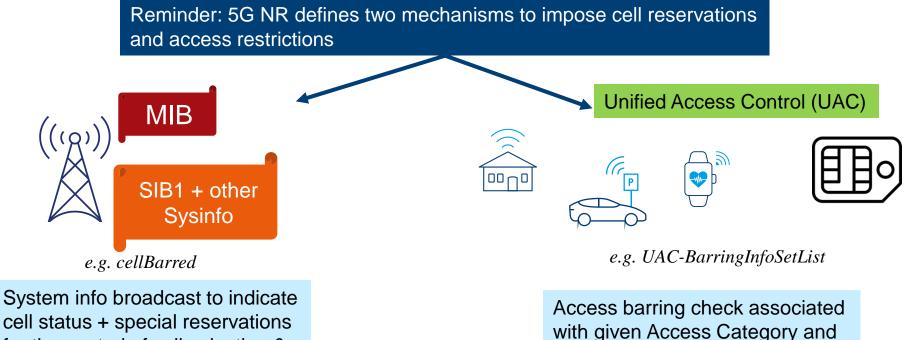


Relaxed UE RRM measurements

5G NR REDUCED CAPABILITY - OBJECTIVES



5G NR CELL CELL RESERVATIONS AND ACCESS RESTRICTIONS

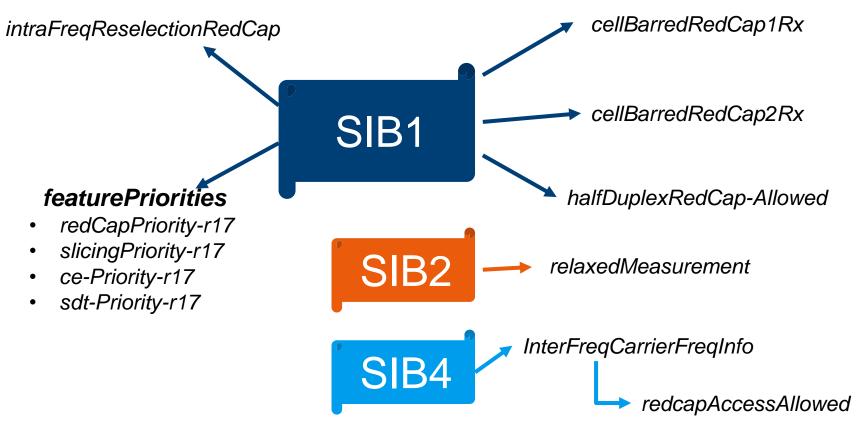


Access Identities [TS 38.331]

cell status + special reservations for the control of cell selection & reselection [TS 38.304]

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5G NR REDCAP: SYSTEM INFORMATION ASPECTS

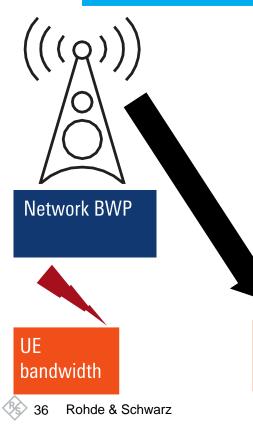


5G NR REDCAP: CELL BARRING ASPECTS

Network can restrict access on cell level! Specific for RedCap features! But: There is no "RedCap-only" cell! Cell barred for half-duplex UE (HD-UE) only Cell barred for 1 RX UE only Cell barred for 2 RX UE only SIB1 Barred cell may use intrafrequency reselection RedCap field to assist with reselection.

5G NR REDCAP – BANDWIDTH PART (BWP) ASPECTS

Reminder: The BWP is configured via SIB, e.g. "*BWP-DownlinkCommon*".



TS 38.213 about RedCap UE: "A UE expects the initial DL BWP and the active DL BWP after the UE (re)establishes dedicated RRC connection to be **smaller than or equal to the maximum DL bandwidth** that the UE supports".

Possible conflict!

- If the network schedules a BWP wider than the RedCap UE bandwidth capability
- 3GPP introduced the possibility of a RedCap-specific BWP (in fact, two possibilities: RedCap_common or UE_dedicated)

5G NR REDCAP – BANDWIDTH PART (BWP) OVERVIEW

Common BWP

Dedicated BWP

RedCap specific BWP

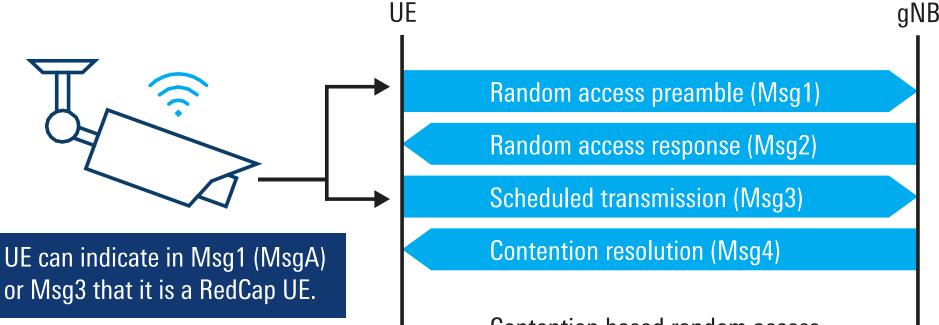
mandatory

Network schedules a BWP common for all UEs. Drawback is that this BWP is not allowed to be larger than the UE capability (e.g. RedCap UE has no access)!

Optionally, the network schedules a BWP specific for RedCap UEs. Drawback: This BWP needs to cover the UE bandwidth, otherwise cell is considered as "barred".

Optionally, the network may configure a dedicated DL BWP (either way RedCap or non-RedCap UEs) => higher flexibility to adjust BWP to UE needs.

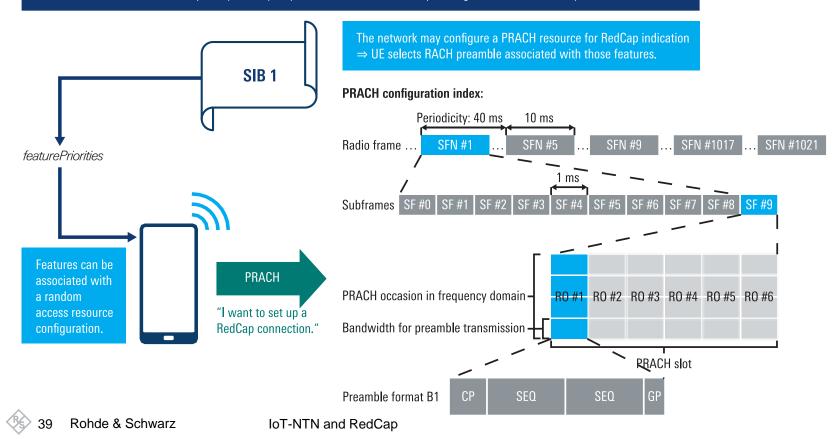
5G NR REDCAP – EARLY UE IDENTIFICATION



Contention based random access

5G NR REDCAP: RANDOM ACCESS ASPECTS

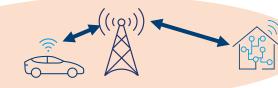
Reduced capability UE may be permitted to indicate RedCap in Msg1 transmission already.



5G NR REDCAP: RELAXED MEASUREMENT ASPECTS

To reduce complexity and energy consumption, the network can configure the RedCap UE for relaxed measurements [TS 38.304]

Relaxed measurement rules for intra-frequency, interfrequency and inter-RAT measurements



SearchDeltaP-Stationary

Motivation:

- Stationary devices
- Devices not at the cell edge

Relaxed measurement criterion for stationary RedCap UE (RRC connected):

When "relaxed measurement" condition is true, the UE performs less measurements (larger DRX cycles)

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(Q)

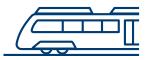
((Q))

 $(Srxlev_{RefStationary} - Srxlev) < S_{SearchDeltaP-Stationary}$

Time period over which the Srxlev variation is evaluated for stationary criterion for relaxed measurement.

REDUCED CAPABILITIES IN REL. 18

RedCap evolution	5G eMBB	Rel. 17	Rel. 18
Bandwidth	100 MHz	20 MHz	5 MHz
Peak rate	2 Gbps	100 Mbps	10 Mbps
Cost assessment	100%	-60%	-71%



Future railway mobile communications system (FRMCS)

- 2*5.6 MHz FDD (874.4 880 MHz / 919.4 925 MHz)
- Parallel operation: GSM-R and NR
- ~3.6 MHz available for NR

Public protection and disaster relief (PPDR) - 2*3 MHz FDD in band n28

UE POWER SAVING + COMPLEXITY REDUCATION TRIANGLE

Hardware restrictions and reduced capabilities:

- Lower power class
- Single antenna
- Half-duplex operation
- Bandwidth restrictions
- Etc.

Enhanced mechanisms & innovations;

- Wake-up signals
- Relaxed measurements
- Adaptive bandwidth
- Etc.



Operational enhancements:

- Discontinuous reception (DRX)
- Sleep mode
- Power save mode (PSM)
- Signaling reduction, i.e. TAU
- Cross-slot scheduling
 Etc.



5G NR POWER SAVING ASPECTS – OVERVIEW

Bandwidth part (BWP= switching)

Max of DL MIMO layers configurable per active BWP

Wake up signal introduction, scheduled by PDCCH

Cross-slot scheduling

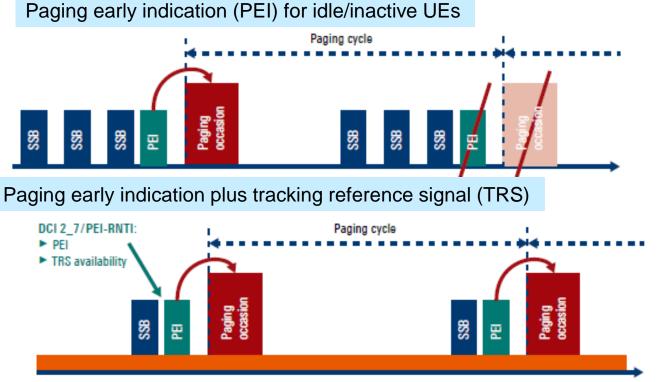
UE assisted power saving methods

RRM measurement relaxation

Rel. 16 ++ features: Several methodologies with respect to power saving are introduced

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5G NR POWER SAVING ASPECTS – REL 17 TOPICS



TRS enabled, configuration known by idle/inactive UEs from SIB17

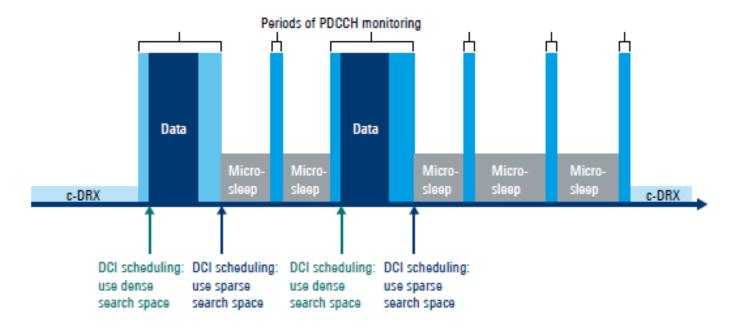
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5G NR POWER SAVING ASPECTS – REL 17 TOPICS

Search space switching – connected mode power saving feature

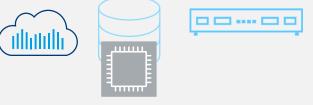
Definition of search space switching groups (SSSG) to schedule via DCI which search space is to be used in connected mode



Rohde & Schwarz IoT-NTN and RedCap

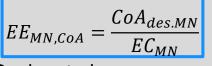
NETWORK ENERGY EFFICIENCY

Holistic approach: From cloud to component, energy saving methods in the entire 5G system

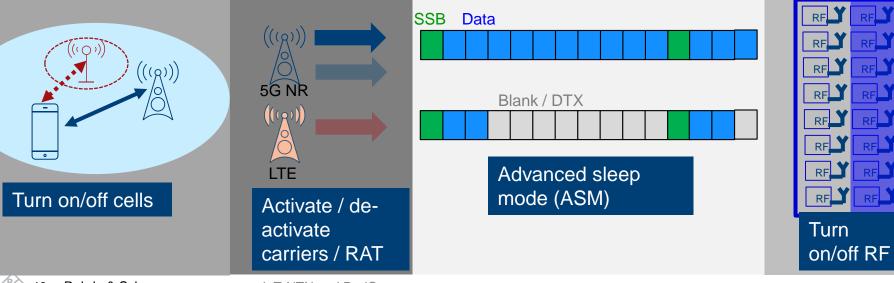


ETSI & 3GPP definition: Energy efficiency

 $EE_{MN,DV} = \frac{DV_{MN}}{EC_{MN}}$ Data volume / energy consumption



Designated coverage area / energy consumption



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CMX500 ONE BOX TESTER - LITE

- Supports FR1/LTE 4x4
 MIMO RF Callbox Testing
- ► 3GPP preconf CMseq.
- Data Application Testing
- Signaling EMC testing
- Service and Repair market
- 3GPP Rel-17 RedCap
- 4 GHz RF DL iBW
- ▶ Sub8: 400 MHz 8 GHz



REDCAP IN CMSQUARES GUI

Recall Reliability Home TE Conn Zoom Out Zoom In Touch 3 H (= ← \rightarrow Reset Help Saue h Workspace + Test Environment Cabling and DUT square Network square BB Pool C Actions 1 Þ ~ D *. Cells Beams Navigation Collapse Expand Activate Library Favorite User Defined Network Cabling DUT State Add PLMN Network Minimum DUT Contro Live Mode On 🖋 Edit 🗴 🗴 Delete Edit Connections General Control Show Labels: 🗸 Cells 📃 Measurements Footprint Add EPS Tracking Area 6 Filter parameters PLMN 0 + CMX500 (100414) Meas & Mobile Originated Operations Add LTE Cell Gen 5G TrackingArea 0 IF Unit (Slot1) Power Cycle 🛛 💭 Add NR FR1 Cell A Cell 0 Switch on Airplane Add NR FR2 Cell IP Meas * Mode & Tools 0 OCS OTA SISO . (MCG) PCell (II) Configure Virtual Cells Switch off Airplane Connection 28 Ant0 ≁ Mode ON (Add Cell Copies Ant1 IF Unit (Slot2) Connection 29 Release Call 🔹 -80.00 dBm Ant3 Predefined Network Band: FR 1 (TDD) N 78 Connection 30 Ant7 EPS Tracking Area ÚL Send SMS 10 CH: 630942 630942 NR Cell 0: DL.. FR2_1 🔥 LTE 1×N + BW: 20 MHz 20 MHz Audio Call Connection 31 FR2_2 RF Unit (Slot3) 🛃 LTE 4×N Ant4 + Establish Audio Call Connection 32 Ant2 NR 1xN LTE 1xN + Accept Audio Call Connection 33 LTE 4×N NR 4xN + RF Unit (Slot4) DUT Reject Audio Call LTE 1×N ANR 1xN + ▼ Video Call NR 2xN 🔥 LTE 4×N + Connected Establish Video Call 5G Tracking Area Release to Idle NR 1xN Accept Video Call Idle NR 4xN 🔀 🗔 Measurement and Generator square Reject Video Call Services square NR 1xN NR 1xN IMS-State Registered GPRE Meas 1 Global Services FFT Spectrum DNS an IMS Data Unit < •••• > State: Run State: State: Run Off ▶ ■ Frequency: 1250.00 MHz 🖗 FTP HTTP QoS Span: 250.00 MHz State: Off State: Run State: Run + •••••• • 🔿 IPerf Ping (•) LTE TX Meas 1 IPerf 1 Ping 1 Multi Evaluation Off • Off ▶ ■ Off 🕨 172.22.1.101 Destination: 172.22.1.100 IP Address: Frequency: 1950.00 MHz

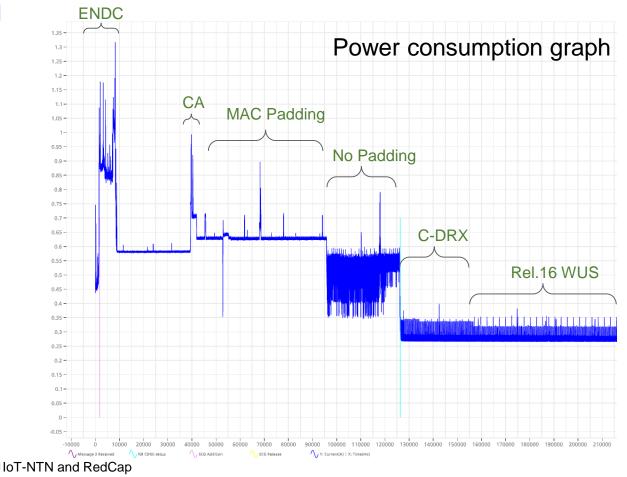
REDCAP IN CMSQUARES GUI

Message Table 🗙				② 53 ×	MSC × UE Capabilities × PMC × Timeline × Story
goto	4,953/4,953	Untitled	τ _∕ ς τ _⊡ τ _φ τ _φ τ _φ τ _φ τ _φ τ _φ	▼ ×	Capabilities All J J Tx
Service	Pri sfn s slot ce	II phyl pr	ach PDU	dlBwp.	Group by Category ↑ Collection ↑
CrrcUlDcchMsg	Ind 731 90 1		UECapabilityInformation;UE_NR_Capability	A	Capability
MacLogData	Req 732 1 1	0			5G NR (44 items)
MultiPhyLogData	Req 732; 7 1; 0; 1;	1		130	
MultiPhyLogData	Req 732; 7 1; 1; 0;	1		130	 Additional Parameters (1 item)
MultiPhyLogData	Req 732; 7 2; 0; 1	1	RECISTRATION ACCEPT	130	accessStratumRelease = rel17 (2)
NAS 5G PEER MESS NAS 5G SEC PROT F			REGISTRATION ACCEPT Nas5qL3Message		 Bands (3 items)
CrrcDlDcchMsg	Req		DLInformationTransfer		bandNR = (78)
PdcpcData	Req		DLInformationTransfer		bandNR = (77)
RicLogData	Reg		5 Ellion and the second		bandNR = (41)
PdcpcData	Cnf				 Measurement and Mobility Parameters (8 items)
MacLogData	Reg 732 1 1	0			ssb_RLM = supported (0)
PdcpcStatus	Ind 732 3 1				eventB_MeasAndReport = supported (0)
MultiPhyLogData	Reg 732; 7 3; 1; 0;	1		130	handoverFDD_TDD = supported (0)
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T&M EXAMPLE – POWER SAVING MEASUREMENTS





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ADDITIONAL RESOURCES AND WHITEPAPER RELATED TO THE **CONTENT OF TODAY'S PRESENTATIONS: 5G VOICE OVER NEW RADIO** (VoNR)

5G technology book oline version (>1000 pages on 5G technology): www.rohde-schwarz.com/5G



5G Non-terrestrial Networks Technology Update | Rohde & Schwarz (rohdeschwarz.com)



TAKING NEXT STEPS ON NON-TERRESTRIAL NETWORKS

AND SATELLITE 5G/IoT

Non-terrestrial networks technology

from a 3GPP perspective

5G NR-V2X FOR ENHANCED AUTOMOTIVE COMMUNICATIONS Technology deep dive into architecture, protocols and physical layer aspects

Automotive | Rohde & Schwarz (rohde-

schwarz.com)

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5G Voice over New Radio (VoNR) | Rohde & Schwarz (rohdeschwarz.com)

White paper: Positioning in 5G NR Rohde & Schwarz (rohde-schwarz.com)

> REDUCED CAPABILITIES (REDCAP) -A NEW CLASS OF 5G DEVICES





POSITIONING IN 5G NR: A LOOK AT THE TECHNOLOGY AND RELATED TEST ASPECTS



Additional Resources

- Worldwide Spectrum Allocation Poster (2020)
- Free "Demystifying 5G NR" poster | Rohde & Schwarz (rohdeschwarz.com)

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